

Sponsor: Samco Securities Limited Trustee Company: Samco Trustee Private Limited Investment Manager: Samco Asset Management Private Limited

Samco Mutual Fund 1003 – A, Naman Midtown, Senapati Bapat Marg, Prabhadevi (W), Mumbai - 400 013

# **KNOW YOUR CLIENT (KYC)**

Application form (For individuals only)
Please read instructions before filling this form. All sections to be completed in English in Black / Blue Coloured Ink and in BLOCK LETTERS.

| Application Type*                  | New Update PH0T0   |
|------------------------------------|--|
| KYC No.                            |  |
| Account Type*                      | Normal Minor   |
| 1. Personal Details                | S (Please refer instructions A at the end)   |
| PAN                                | Please enclose a duly attested copy of your PAN Card Form 60 furnished Signature / Thumb Impression                              |
|                                    | First Name Middle Name Last Name   |
| Name* (same as ID proof)           | Mr. / Ms. / M/s.   |
| Maiden Name (if any*)              | Mr. / Ms. / M/s.   |
| Father / Spouse Name *             | Mr. / Ms. / M/s.   |
| Mother Name*                       | Mr. / Ms. / M/s.   |
| Date of Birth                      |  |
| Gender*                            | ☐ Male ☐ Female ☐ Transgender  |
| Citizenship*                       | ☐ Indian ☐ Others Country ☐ Country Code ☐   |
| Residential Status*                | Resident Individual Non Resident Indian Foreign National Person of Indian Origin   |
| Occupation Type*                   | Private Sector Public Sector Government Sector Professional X-Not Categorised Self Employed                                      |
|                                    | Retired Housewife Student  |
| 2. Proof of Identity               | y and Address (Please refer instruction B at the end)  |
| Certified copy of any one of the f | ollowing. Proof of Identity (PoI) needs to be submitted  |
| A - Passport Numbe                 | Passport Expiry Date DDMMYYYYY   |
| B - Voter ID Card                  |  |
| C - PAN Card  D - Driving License  |  |
| E - Aadhaar Card                   | Driving LicenseExpiry Date DDMMYYYYY   |
| F - NREGA Job Card                 |  |
| Z - Others (any docume             | nt notified by the central government)  Identification Number  |
| Address                            |  |
| Line 1*                            |  |
| Line 2                             |  |
| Line 3                             | City / Town / Village*   |
| District*                          | Zip / Post Code* State / UT Code* as per Indian Motor Vehicle Act, 1988  |
| State / UT*                        | Country* Country Code* as per ISO 3166   |
| 3. Current / Perma                 | anent Address Details (Please refer instruction B at the end)  |
| A - Passport Numbe                 |  |
| B - Voter ID Card                  |  |
| C - Driving License                |  |
| D - Aadhaar Card                   | XXXXXX   |
| E - NREGA Job Card                 |  |
| Z - Others (any docume             | nt notified by the central government)  Identification Number  |
| Correspondence / Loca              | Il Address Details * (Please see instructions E at the end)  |
|                                    | address (In case of multiple correspondence / local addresses, please fill 'Additional Form', Submit relevant documentary proof) |
| Line 1*                            |  |
| Line 2*                            |  |
| Line 3*                            | City / Town / Village*   |
| District*                          | Zip / Post Code*       State / UT Code*   as per Indian Motor Vehicle Act, 1988  |
| State / UT*                        | Country*   Country Code*   as per ISO 3166   |

| 4. Contact Details (All communications will be sent on provided Mobile no. / Em.  | ail ID) (Please refer instruction C at the end)             |  |
|---|---|--|
| Email ID  |   |  |
| Mobile Tel. (Off)   | Tel. (Res)  |  |
|   |   |  |
| 5. Remarks (If any)   |   |  |
|   |   |  |
|   |   |  |
|   |   |  |
| 6. Applicant Declaration  |   |  |
| I hereby declare that the details furnished above are true and correct to the best of minform you of any changes therein, immediately. In case any of the above information is a second control of the control of t | y knowledge and belief and I undertake to                   |  |
| misrepresenting, I am aware that I may be held liable for it.   | Signature / Thumb Impression                                |  |
| <ul> <li>I hereby consent to receiving information from Central KYC Registry through SMS/Em<br/>address.</li> </ul>   | ail on the above registered number/email                    |  |
| Date   D   D   M   M   Y   Y   Y   Place  | Signature / Thumb Impression of Applicant                   |  |
|   |   |  |
| 7. Attestation / For Office Use Only  |   |  |
| Documents Received Certified Copies E-KYC data received from UIDAI  | Data received from Offline verification Digital KYC Process |  |
| Equivalent e-document Video Based KYC   |   |  |
| KYC In-Person Verification (IPV) Carried Out by   | Institution Details   |  |
| Date   D   D   M   M   Y   Y   Y   Y  | Emp. Name   |  |
| Emp. Name   | Emp. Code   |  |
| Emp. Code   | Emp. Branch   |  |
| Emp. Designation  |   |  |
| Emp. Branch   |   |  |
|   | Signature / Thumb Impression                                |  |
| Signature / Thumb Impression  |   |  |
|   |   |  |

## **Important Instructions:**

- A) Fields marked with '\*' are mandatory fields.
- B) Tick ' √' wherever applicable.
- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, please tick ( ✓ ) in the box section number and strike off the sections not required to be updated.
- F) Please read section wise detailed guidelines/ instructions at the end.
- G) List of State/ U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.
- J) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode

## CENTRAL KYC REGISTRY | Instructions / Check list/ Guidelines for filling Individual KYC Application Form

#### A Clarification/ Guidelines on filling 'Personal Details' section

- 1 Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 One the following is mandatory: Mother's name, Spouse's name, Father's name.

#### B Clarification/ Guidelines on filling 'Current Address details' section

- 1 In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR
- 2 PoA to be submitted only if the submitted Pol does not have current address or address as per Pol is invalid or not in force.
- 3 State/ U.T Code and Pin/ Post Code will not be mandatory for Overseas addresses.
- 4 In Section 2, one of I, II, and III is to be selected. In case of online E-KYC authentication, II is to be selected.
- 5 In Section 3, one of I, 11, III and IV is to be selected. In case of online E-KYC authentication, II is to be selected.
- 6 List of documents for 'Deemed Proof of Address':

Document Code Description

| 01 | Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).   |
|----|---|
| 02 | Property or Municipal tax receipt.  |
| 03 | Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.   |
| 04 | Letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotting official accommodation. |

- Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading CKYCR.
- 8 "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Infonnation Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- 9 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.

#### C Clarification/ Guidelines on filling 'Contact details' section

- 1 Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-999999999).
- 2 Do not add 'O' in the beginning of Mobile number.

## Clarification/ Guidelines on filling 'Related Person details' section

1 Provide KYC number of related person, if available.

#### E Clarification on Minor

- 1 Guardian details are optional for minors above 10 years of age for opening of bank account only
- 2 However, in case guardian details are available for minor above 10 years of age, the same (or CKYCR number of guardian) is to be uploaded.

# List of Two- Digit state / U.T Codes as per Indian Motor Vehicle Act, 1988

| State / U.T            | Code | State / U.T      |
|------------------------|------|------------------|
| Andaman & Nicobar      | AN   | Himachal Pradesh |
| Andhra Pradesh         | AP   | Jammu & Kashmir  |
| Arunachal Pradesh      | AR   | Jharkhand        |
| Assam                  | AS   | Karnataka        |
| Bihar                  | BR   | Kerala           |
| Chandigarh             | CH   | Lakshadweep      |
| Chattisgarh            | CG   | Madhya Pradesh   |
| Dadra and Nagar Haveli | DN   | Maharashtra      |
| Daman & Diu            | DD   | Manipur          |
| Delhi                  | DL   | Meghalaya        |
| Goa                    | GA   | Mizoram          |
| Gujarat                | GJ   | Nagaland         |
| Haryana                | HR   | Orissa           |
|                        |      |                  |

| State / U.T   | Code |
|---------------|------|
| Pondicherry   | PY   |
| Punjab        | PB   |
| Rajasthan     | RJ   |
| Sikkim        | SK   |
| Tamil Nadu    | TN   |
| Telangana     | TS   |
| Tripura       | TR   |
| Uttar Pradesh | UP   |
| Uttarakhand   | UA   |
| West Bengal   | WB   |
| Other         | XX   |

# **List of ISO 3166 Two-Digit Country Code**

| Country                                 | Country<br>Code |
|---|-----------------|
| Afghanistan                             | AF              |
| Aland Islands                           | AX              |
| Albania                                 | AL              |
| Algeria                                 | DZ              |
| American Samoa                          | AS              |
| Andorra                                 | AD              |
| Angola                                  | AO              |
| Anguilla                                | Al              |
| Antarctica                              | AQ              |
| Antigua and Barbuda                     | AG              |
| Argentina                               | AR              |
| Armenia                                 | AM              |
| Aruba<br>Australia                      | AW<br>AU        |
| Austria                                 | AT              |
| Azerbaijan                              | AZ              |
| Bahamas                                 | BS              |
| Bahrain                                 | BH              |
| Bangladesh                              | BD              |
| Barbados                                | BB              |
| Belarus                                 | BY              |
| Belgium                                 | BF              |
| Belize                                  | BZ              |
| Benin                                   | B.I             |
| Bermuda                                 | BM              |
| Bhutan                                  | BT              |
| Bolivia, Plurinational State of         | ВО              |
| Bonaire, Sint Eustatius and Saba        | BQ              |
| Bosnia and Herzegovina                  | BA              |
| Botswana                                | BW              |
| Bouvet Island                           | BV              |
| Brazil                                  | BR              |
| British Indian Ocean Territory          | 10              |
| Brunei Darussalam                       | BN              |
| Bulgaria                                | BG              |
| Burkina Faso                            | BF              |
| Burundi                                 | BI              |
| Cabo Verde                              | CV              |
| Cambodia                                | KH              |
| Cameroon                                | CM              |
| Canada                                  | CA              |
| Cayman Islands                          | KY              |
| Central African Republic                | CF              |
| Chad                                    | TD              |
| Chile                                   | CL              |
| China                                   | CN              |
| Christmas Island                        | CX              |
| Cocos (Keeling) Islands                 | CC              |
| Colombia                                | CO              |
| Comoros                                 | KM              |
| Congo                                   | CG              |
| Congo, the Democratic Republic of the   | CD              |
| Cook Islands                            | CK              |
| Costa Rica Cote d'Ivoire !Côte d'Ivoire | CR<br>CI        |
|   |                 |
| Croatia                                 | HR<br>CU        |
| Curana Curana                           |                 |
| Curação Curação                         | CW              |
| Cyprus                                  | CY<br>CZ        |
| Czech Republic                          | DK              |
| Denmark<br>Djibouti                     | D.I.            |
| Dominica                                | DM              |
|   |                 |

| Country                                | Country<br>Code |
|--|-----------------|
| Dominican Republic                     | DO              |
| Ecuador                                | EC              |
| Egypt                                  | EG              |
| El Salvador                            | SV              |
| Equatorial Guinea                      | GQ              |
| Eritrea                                | ER              |
| Estonia                                | EE              |
| Ethiopia Falkland Islands (Malvinas)   | ET<br>FK        |
| Faroe Islands                          | FO              |
| Faroe Islands<br>Fiji                  | FJ              |
| Finland                                | FI              |
| France                                 | FR              |
| French Guiana                          | GF              |
| French Polynesia                       | PF              |
| French Southern Territories            | TF              |
| Gabon                                  | GA              |
| Gambia                                 | GM              |
| Georgia                                | GE              |
| Germany                                | DE              |
| Ghana                                  | GH              |
| Gibraltar                              | GI              |
| Greece                                 | GR              |
| Greenland                              | GL              |
| Grenada                                | GD              |
| Guadeloupe                             | GP              |
| Guam                                   | GU              |
| Guatemala                              | GT              |
| Guernsey                               | GG              |
| Guinea                                 | GN              |
| Guinea-Bissau                          | GW              |
| Guyana                                 | GY              |
| Haiti                                  | HT              |
| Heard Island and McDonald Islands      | HM              |
| Holy See (Vatican City State)          | VA              |
| Honduras                               | HN              |
| Hong Kong                              | HK              |
| Hungary<br>Iceland                     | HU              |
| India                                  | IN              |
| Indonesia                              | ID              |
| Iran, Islamic Republic of              | IR              |
| Iraq                                   | IQ              |
| Ireland                                | IE              |
| Isle of Man                            | IM              |
| Israel                                 | IL              |
| Italy                                  | IT              |
| Jamaica                                | JM              |
| Japan                                  | JP              |
| Jersey                                 | JE              |
| Jordan                                 | JO              |
| Kazakhstan                             | KZ              |
| Kenya                                  | KE              |
| Kiribati                               | KI              |
| Korea, Democratic People's Republic of | KP              |
| Korea, Republic of                     | KR              |
| Kuwait                                 | KW              |
| Kyrgyzstan                             | KG              |
| Lao People's Democratic Republic       | LA              |
| Latvia                                 | LV              |
| Lebanon                                | LB              |
| Lesotho                                | LS              |
| Liberia                                | LR              |

| Country  | Code     |
|--|----------|
| Libya  | LY       |
| Liechtenstein  | LI       |
| Lithuania  | LT       |
| Luxembourg   | LU       |
| Macao  | MO       |
| Macedonia, the former Yugoslav Republic of                           | MK       |
| Madagascar   | MG       |
| Malawi   | MW       |
| Malaysia   | MY       |
| Maldives   | MV       |
| Mali   | ML       |
| Malta  | MT       |
| Marshall Islands   | MH       |
| Martinique   | MQ       |
| Mauritania   | MR       |
| Mauritius  | MU       |
| Mayotte  | YT       |
| Mexico   | MX       |
| Micronesia, Federated States of                                      | FM       |
| Moldova, Republic of   | MD       |
| Monaco   | MC       |
| Mongolia   | MN       |
| Montenegro   | ME       |
| Montserrat   | MS       |
| Morocco  | MA       |
| Mozambique   | MZ       |
| Myanmar  | MM       |
| Namibia  | NA       |
| Nauru  | NR       |
| Nepal  | NP       |
| Netherlands  | NL       |
| New Caledonia  | NC       |
| New Zealand  | NZ       |
| Nicaragua  | NI       |
| Niger  | NE       |
| Nigeria  | NG       |
| Niue   | NU       |
| Norfolk Island   | NF       |
| Northern Mariana Islands   | MP       |
| Norway   | NO       |
| Oman   | OM       |
| Pakistan   | PK       |
| Pakistan<br>Palau  | PW       |
|  | PW       |
| Palestine, State of<br>Panama  | PA       |
|  | PA<br>PG |
| Papua New Guinea   |          |
| Paraguay   | PY       |
| Peru<br>Philippings  | PE       |
| Philippines  Pitoring  | PH       |
| Pitcairn   | PN       |
| Poland   | PL       |
| Portugal   | PT       |
| Puerto Rico  | PR       |
| Qatar  | QA       |
| Reunion !Réunion   | RE       |
| Romania  | RO       |
| Russian Federation   | RU       |
| Rwanda   | RW       |
| Saint Barthelemy !Saint Barthélemy                                   | BL       |
|  | SH       |
|  |          |
| Saint Helena, Ascension and Tristanda Cunha<br>Saint Kitts and Nevis | KN       |
|  | KN<br>LC |

| Country                                     | Country<br>Code |
|---|-----------------|
| Saint Pierre and Miquelon                   | PM              |
| Saint Vincent and the Grenadines            | VC              |
| Samoa                                       | WS              |
| San Marino                                  | SM              |
| Sao Tome and Principe                       | ST              |
| Saudi Arabia                                | SA              |
| Senegal                                     | SN              |
| Serbia                                      | RS              |
| Seychelles                                  | SC              |
| Sierra Leone                                | SL              |
| Singapore                                   | SG              |
| Sint Maarten (Dutch part)                   | SX              |
| Slovakia                                    | SK              |
| Slovenia                                    | SI              |
| Solomon Islands                             | SB              |
| Somalia                                     | SO              |
| South Africa                                | ZA              |
| South Georgia and the SouthSandwich Islands | GS              |
| South Sudan                                 | SS              |
|   |                 |
| Spain<br>Sri Lanka                          | ES<br>LK        |
|   |                 |
| Sudan                                       | SD              |
| Suriname                                    | SR              |
| Svalbard and Jan Mayen                      | SJ              |
| Swaziland                                   | SZ              |
| Sweden                                      | SE              |
| Switzerland                                 | CH              |
| Syrian Arab Republic                        | SY              |
| Taiwan, Province of China                   | TW              |
| Tajikistan                                  | TJ              |
| Tanzania, United Republic of                | TZ              |
| Thailand                                    | TH              |
| Timor-Leste                                 | TL              |
| Togo  | TG              |
| Tokelau                                     | TK              |
| Tonga                                       | TO              |
| Trinidad and Tobago                         | TT              |
| Tunisia                                     | TN              |
| Turkey                                      | TR              |
| Turkmenistan                                | TM              |
| Turks and Caicos Islands                    | TC              |
| Tuvalu                                      | TV              |
| Uganda                                      | UG              |
| Ukraine                                     | UA              |
| United Arab Emirates                        | AE              |
| United Kingdom                              | GB              |
| United States                               | US              |
| United States Minor Outlying Islands        | UM              |
| Uruguay                                     | UY              |
| Uzbekistan                                  | UZ              |
| Vanuatu                                     | VU              |
| Venezuela, Bolivarian Republic of           | VE              |
| Viet Nam                                    | VN              |
| Virgin Islands, British                     | VG              |
| Virgin Islands, U.S.                        | VI              |
| Wallis and Futuna                           | WF              |
| Western Sahara                              | EH              |
| Yemen                                       | YE              |
| Zambia                                      | ZM              |
| Zimbabwe                                    | ZW              |
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